Glycerol as additive in copper indium gallium diselenide electrodeposition: morphological, structural and electronic effects

Francisco Willian de S. Lucas, Alan R. F. Lima and Lucia H. Mascaro*

The co-electrodeposition of copper, indium, gallium and selenium from a pH 1.5 acid bath onto an FTO substrate in the presence of the additive glycerol (GLR) is studied. The concentration of additive in the bath is evaluated, and conditions are optimised for deposition of Cu(In,Ga)Se₂ (CIGSe) thin films. The films are characterized by X-ray diffraction (XRD), scanning electron microscopy (SEM), Raman spectroscopy, ultraviolet-visible-near-infrared spectroscopy (UV-Vis-NIR), Mott–Schottky and photocurrent measurements. Mott–Schottky data, XRD and SEM studies reveal an improvement of the crystalline quality and the reduction the number of surface defects of the CIGSe films electrodeposited in presence of the additive. Photo-electrochemical and UV-Vis-NIR measurements show p-type photoconductivity with band gap of 1.34 eV.

1. Introduction

In recent years, the ternary chalcopyrite compounds of the groups I–III–VI direct gap semiconductors, such as CuInSe₂ (CIS), have become one of the most promising semiconductor materials for thin film solar cell applications, because of their material properties as an absorber layer for tandem solar cells.¹–⁴ They have also been used for the fabrication of light emitting diodes, optoelectronics and nonlinear optical devices.⁵ To improve the efficiency of CIS solar cells Al, Ga or S (elements from group III or VI) have been added. With the addition of Ga, the efficiency of CIS solar cells reached 20.3%.⁶,⁷ The band gap of the high efficiency CIGSe varies between 1.04 eV and 1.68 eV (1.04 eV to CuInSe₂ and 1.68 eV to CuGaSe₂). This material also exhibits a high absorption coefficient >10⁵ cm⁻¹ at photon energies above the band gap, which make it ideal for the fabrication of high efficiency thin film photovoltaic devices.²

In order to make CIGSe-based solar cells with maximum photovoltaic efficiency and low cost, several techniques have been adopted in CIGSe preparation. The electrodeposition technique is potentially suitable to satisfy this requirement, and it has been used as an alternative method for the growth of a high-quality CIGSe absorber layer. It has some advantages, namely: low cost equipment needs, no use of toxic gases, high deposition rate and scalability for large area substrate coating.¹,² This technique has been most intensely investigated and high potential in the opinion of numerous researchers.⁷–⁹ Using the strategy mentioned above, in 1999, Bhattacharya et al. of the National Renewable Energy Laboratory (NREL) obtained a new efficiency record of 15.4% using a Cu-rich CIGSe film obtained by electrodeposition as a first step. However, the film composition was found to deviate from the ideal stoichiometry, resulting in the formation of secondary phases.¹⁰ Several works dealing with the influence of experimental parameters such as bath composition,¹,²,¹¹,¹² deposition method¹,²,¹³ and deposition potential¹,²,¹¹,¹² have been reported in the literature. However, few studies have been devoted to the influence of the presence of non-ionic and non-complexing additives in the electrochemical bath.

The goal of the present work was to study the effect of non-ionic and non-complexing additive in the electrodeposition of CIGSe in order to improve or add new optoelectronic properties to nanostructures. Potentiostatic film growth in a single step using electrodeposition bath with glycerol (GLR) as non-ionic and non-complexing additive is proposed. GLR has been used in alloys plating, resulting in higher deposition efficiency and improvement in the microstructure of deposits.¹⁴ In addition, GLR is an inexpensive reagent and, as it is a by-product of biodiesel production, its use is economically and environmentally interesting.¹⁵ According to our knowledge, the use of the GLR in the CIGSe thin film electrodeposition has not been reported. Thus, with the aim to gain an insight into the effects of the GLR on electrodeposition of this semiconductor, analysis by cyclic voltammetry, Raman spectroscopy, energy dispersive X-ray, UV-Vis-NIR spectroscopy, scanning electron microscopy, Mott–Schottky, measurements of photocurrent and Rietveld refinement of the X-ray diffraction patterns were conducted.

2. Experimental

Electrochemical investigations were carried out in a potentiostat/galvanostat (Autolab PGSTAT 302N, Metrohm-Eco...
Chronoamperograms were obtained at on/off lamp (Newport 66902) as a light source and an AM 1.5G lens. The potential of the electrode was adjusted to 100 mW cm$^{-2}$ (1 sun) and the light intensity incident on the electrode was 514.5 nm wavelength incident argon laser light and measurements were made with a Horiba Jobin Yvon (HR 550) system. The surface morphology and topology of the films were characterized by high-resolution field emission scanning electron microscopy (FE-SEM, Zeiss Supra 35 at 2 kV). The peak $C_3$ at $0.42$ V, respectively, can be attributed to the co-reduction of Cu$^{2+}$ and HSeO$_3^{-}$ with formation of different Cu$_2$Se$_y$ binary phases. The peak $C_4$ could be related to deposition of Cu$_2$In$_2$Se$_3$ and/or In$_2$Se$_3$ phases. By the last the peak $C_4$ appear at potential region characteristic of three-dimensional nucleation and have well-defined four cathodic and two anodic stripping peaks. The complexity of this system does not allow for assigning one single specified process of reduction or oxidation at each peak. However, we can infer the possible deposited species by comparing the peak potentials obtained in our study with those observed in the literature for pure metals or mixtures. In cathodic sweep, the peaks $C_1$ and $C_2$ at $0.2$ V and $0.42$ V, respectively, can be attributed to the co-reduction of Cu$^{2+}$ and HSeO$_3^{-}$ with formation of different Cu$_2$Se$_y$ binary phases. The peak $C_4$ could be related to deposition of Cu$_2$In$_2$Se$_3$ and/or In$_2$Se$_3$ phases. In presence of additive, there was no significant peak potentials shift of these processes, indicating that the additive did not form complexes with ions of the electrolytic bath. Based on cyclic voltammetry results, the potential of $-0.8$ V was chosen for electrodeposition of the films. This potential is more negative than all cathodic peaks observed in Fig. 1 and the

### 3. Results and discussion

#### 3.1 Electrochemical deposition potentials study

One exploratory voltammetric study was made in bath solution composed of 1 mmol L$^{-1}$ of each electroactive species {Cu$^{2+}$, In$^{3+}$, Ga$^{3+}$} and 0.5 mol L$^{-1}$ Na$_2$SO$_4$/H$_2$SO$_4$ – pH 1.5 (as supporting electrolyte) in the absence and presence of 10 mmol L$^{-1}$ GLR (used as additive). The cyclic voltammograms obtained for this quaternary system can be seen in Fig. 1.

The cyclic voltammograms in Fig. 1 show the crossover characteristic of three-dimensional nucleation and have well-defined four cathodic and two anodic stripping peaks. The complexity of this system does not allow for assigning one single specified process of reduction or oxidation at each peak. However, we can infer the possible deposited species by comparing the peak potentials obtained in our study with those observed in the literature for pure metals or mixtures. In cathodic sweep, the peaks $C_1$ and $C_2$ at $0.2$ V and $0.42$ V, respectively, can be attributed to the co-reduction of Cu$^{2+}$ and HSeO$_3^{-}$ with formation of different Cu$_2$Se$_y$ binary phases. The peak $C_4$ could be related to deposition of Cu$_2$In$_2$Se$_3$ and/or In$_2$Se$_3$ phases. In presence of additive, there was no significant peak potentials shift of these processes, indicating that the additive did not form complexes with ions of the electrolytic bath. Based on cyclic voltammetry results, the potential of $-0.8$ V was chosen for electrodeposition of the films. This potential is more negative than all cathodic peaks observed in Fig. 1 and the
formation of In, Ga and Cu selenides and/or CIGSe phases is expected. No significant difference was observed in the deposition chronoamperogram with GLR addition and the total charge was of \( \approx 1.59 \, \text{C} \).

### 3.2 Structural and morphological properties

In order to identify which phases were formed during the process of electrodeposition, Raman spectroscopy and X-ray Diffraction (XRD) techniques were used. The NADT (without additive), 0.1GLR, 1GLR and 10GLR CIGSe coatings were grown using 0, 0.1, 1.0 and 10 mmol L\(^{-1}\) of GLR, respectively. The Raman spectra of the CIGSe films obtained at different GLR concentration can be seen in Fig. 2.

The main part of these spectra is situated between 100 cm\(^{-1}\) and 450 cm\(^{-1}\). For all films, the presence of a most intense peak at about 174 cm\(^{-1}\) can be evidently observed, which can be attributed to the \( \Delta \) symmetry vibrational mode of CIGSe resulting from the Se atom motion in the \( x-y \) plane with others atoms at rest.\(^{13,23-26} \) The smallest full width at half maximum (FWHM) of the 174 cm\(^{-1}\) peak was observed for the films 1GLR and 10GLR, evidencing that these had a greater level of bulk crystallinity (the data can be seen in Table 1). Also, shoulders in the region of 213 to 230 cm\(^{-1}\) were observed, which can be related to the mixing of phonon modes of \( B_2 \) and \( E \) symmetries of the chalcopyrite phase.\(^{23} \) In Raman spectrum of the films NADT and 1GLR, a shoulder was also observed at about 154 cm\(^{-1}\) that can be evidence of the formation of ordered vacancies compounds (OVCs) attributed to the existence of complex point defects in the chalcopyrite structure.\(^{25} \) Moreover, it should be noted that there is no additional peak at 258 cm\(^{-1}\) related to the presence of a highly conductive Cu\(_3\)Se phase or presence of bands associated with elemental Se, around 240 cm\(^{-1}\), which would indicates the films are suitable for the production of efficient solar cells.\(^{13,23-26} \) However, this does not mean that these phases are not present; it could be that the high Raman scattering of the majority phase (CIGSe) has suppressed the secondary phases.

In addition to the conclusions obtained from the Raman spectrum and to verify the presence of secondary phases, Rietveld refinement (RR) of the CIGSe films diffraction patterns was performed. In Fig. 3 are shown the experimental and calculated X-ray diffraction patterns from refinements made by the Rietveld method of the NADT and 1GLR films. Most of the qualitative and statistical results obtained by RR are summarized in Table 1.

In all the refinements, the \( R_{\text{agg}} \) index presented satisfactory low values, indicating a good refined crystal structure model representative of the real case. The residual pattern shows that there is a good match between the theoretical and observed XRD patterns, noted by the small variation along the diffraction angle as shown in Fig. 3, as is also evidenced by the \( R_{\text{wp}} \) and \( \chi^2 \) index values shown in Table 1. Thus, by comparison with patterns available in the Inorganic Crystal Structure Database (ICSD),\(^{29} \) it was observed that the majority phase present in all films was the CIGSe [ICSD code: 163564, space group: \( I42m \) (121)]. The presence of secondary phases that were not identified in the Raman spectra were also observed, such as: Cu\(_3\)Se [ICSD code: 59955, space group: \( F23(196) \)], In\(_2\)Se\(_3\) [ICSD code 1376, space group \( P61(169) \) and Se [ICSD code: 24635, space group: \( P121/c1(14) \)]. In Table 1, the phases present in each film were identified and, as can be seen, only the 1GLR film did not present bulk secondary phases.

From (220) peak of the majority phase, the size of the crystallographic coherence dominium (\( D \)) of the films was estimated and the data were organized in Table 1. Agreeing with the observations from Raman spectra, one of the less crystalline films was the NADT, i.e., with smaller \( D \). The more crystalline film was the 1GLR, observing that the use of additive also

![Raman spectrum of the films](image)

Fig. 2  Raman spectrum of the films (a) NADT, (b) 0.1GLR, (c) 1GLR and (d) 10GLR.

<table>
<thead>
<tr>
<th>Phases(^a)</th>
<th>CIGSe (majority)</th>
<th>CuSe</th>
<th>InSe</th>
<th>Se</th>
<th>( R_{\text{wp}} ) (%)</th>
<th>( R_{\text{agg}} ) (%)</th>
<th>( \chi^2 )</th>
<th>( D ) (nm)</th>
<th>FWHM (cm(^{-1}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>NADT</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>3.08</td>
<td>1.03</td>
<td>3.05</td>
<td>14.98</td>
<td>14.7</td>
</tr>
<tr>
<td>0.1GLR</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>No refined</td>
<td>—</td>
<td>4.56</td>
<td>4.56</td>
<td>3.41</td>
<td>32.71</td>
</tr>
<tr>
<td>1GLR</td>
<td>X</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>5.81</td>
<td>4.89</td>
<td>3.87</td>
<td>25.41</td>
<td>9.67</td>
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<tr>
<td>10GLR</td>
<td>X</td>
<td>X</td>
<td>—</td>
<td>—</td>
<td>5.81</td>
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\(^a\) ICSD code phases: CIGSe [163564], CuSe [59955], InSe [1376] and Se [24635]. The FTO (SnO\(_2\) cassiterite phase, 90611) phase was present in all films. \( D \) was calculated from the (220) peak and FWHM from \( A_1 \) mode of the CIGSe phase.
improved the crystallinity of the films, one of the more important properties required for films used in photovoltaic devices.

The morphological characteristics of the films were observed by Scanning Electron Microscopy (SEM). The SEM micrographs of the film obtained from bath in absence and presence of additive are shown in Fig. 4.

From Fig. 4, it can be concluded that the additive promotes changes in the films grain size, despite all films showing a very compact and homogeneous surface morphology, having some clusters with roughly uniform size and globular aspects. The 10GLR–CIGSe film showed less compact morphology with large grains.

Associated with SEM, semi-quantitative analyses of the films’ composition were carried out by energy-dispersive X-ray spectroscopy (EDX); the data were organized in Table 2.

From Table 2, it was possible to conclude that when GLR is added to electrodeposition bath, the Cu/In ratio of the films has obviously increased from 0.33 to 1.13, while the Se/(Cu + In) ratios were always greater than 1 in the conditions studied. Although, no change was observed at voltammograms as shown in Fig. 1, the presence of GLR changed the deposit composition. In general, the electrodeposition conditions used in this work promoted a formation of In-rich CIGSe films and with low Ga content. Despite the near-stoichiometric composition (Cu: 25%, In: 22% and Se: 52%) obtained when the electrodeposition was performed at 10GLR, DRX results shown that the CIGSe and CuSe phases were deposited in the experimental conditions.

### 3.3 Physical and optical properties

In order to determine optical band gap of the obtained CIGSe thin film, the sample was prepared as described above. The band-gap energy ($E_g$) was determined by UV-Vis-NIR diffuse reflectance spectroscopy (DRS) using the following equation:

$$\alpha = F(R) = \frac{(1 - R)^2}{2R}, \quad (\alpha h v)^n = A (h v - E_g)$$

where, $F(R)$ is the Kubelka–Munk function, $R$ is the absolute reflectance to a given value of $h v$, $\alpha$ is the absorption coefficient, $h$ Planck constant, $v$ is the frequency and $n$ assumes the values of 2 or 2/3 for direct transition (allowed and forbidden, respectively) and 1/2 or 1/3 for indirect (allowed and forbidden, respectively).

In Fig. 5, the graphs of $(\alpha h v)^n$ can be seen as a function of $h v$, being the $E_g$ values determined by extrapolating the linear region, as shown in eqn (1) for a allowed direct transition, i.e., $n$ equals 2. The $E_g$ of the films were organized in Table 2.

The values of $E_g$ found at about 1.35 eV are similar to those reported in the literature of CIGSe films, indicating that the Ga content was sufficient for the formation of CIGSe quaternary

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</tr>
<tr>
<td>10</td>
<td>23</td>
</tr>
<tr>
<td>0.1</td>
<td>30</td>
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<tr>
<td>1</td>
<td>28</td>
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$^a E_{fb}$ vs. Ag/AgCl/Cl(sat. KCl). $^b$ For calculation was used $\varepsilon_\alpha = 13.5$ (ref. 33).

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phases. For a ternary CuInSe₂ system, the value of $E_g$ should be about 1.04 eV,¹ a value much lower than that obtained in our work, which reinforces that it was formed in the quaternary phase and not the ternary phase.

In order to characterize the physical properties of these films, the flat band potential ($E_{fb}$), the charge carriers density ($N_D$) and the semiconductor type (n- or p-) were determined by the Mott–Schottky equation. The results were obtained by potentiodynamic electrochemical impedance spectroscopy experiments and described by the following equation:²⁸–³⁰

$$\frac{1}{C^2} = \frac{2}{\varepsilon N_D e \varepsilon_s} \left[ (E - E_{fb}) - \frac{k_B T}{e} \right]$$

(2)

where, $C_{SC}$ is the semiconductor capacitance of the space charge region, $e$ the elementary charge, $N_D$ the charge carriers density, $\varepsilon_0$ the permittivity of free space, $\varepsilon_s$ the semiconductor dielectric constant, $E$ the applied potential, $E_{fb}$ the flat band potential, $k_B$ the Boltzmann constant and $T$ the absolute temperature.

Using the Mott–Schottky graph, the $N_D$ can be calculated from the slope and the $E_{fb}$ can be obtained from the extrapolation to $C_{SC}^{-2} = 0$. The CIGSe thin films were prepared and capacitance data were obtained in 0.1 mol L⁻¹ NaNO₃ by applying a 0.01 V rms sinusoidal excitation signal with frequency of 1 kHz. The Mott–Schottky graph for the 1GLR film, $N_D$ and $E_{fb}$ values can be seen in Fig. 6 and in Table 2, respectively.

Based on the slope of the Mott–Schottky graph, it can be proven that all obtained films were n-type semiconductors, since the slope was positive in all cases.²⁸–³⁰ This behaviour is expected for In-rich CIGSe films, as observed by Djellal et al.³¹ Their films also showed $E_{fb}$ and $N_D$ values of $–0.74$ V vs. Ag/AgCl/Cl⁻(sat. KCl) and $2.73 \times 10^{19}$, respectively. As can be seen in Table 2, the 1GLR film showed $E_{fb}$ values closer to those reported, i.e., this film had electronic structure closer to the films obtained by that good quality method. The high $N_D$ observed for these electrodeposited films can be related to small clusters observed by SEM on the film surface. These structures can be constituted by Cu₄Se₃ crystals and increase the defects density on the surface, causing the high $N_D$ values observed, as similarly argued by Valdés and et al.³² Since the Mott–Schottky plot describes predominantly the electronic behaviour on the surface,³³ the films that had fewer surface defects were 0.1GLR and 1GLR. The 10GLR showed an extremely higher number of surface defects than other films.

Fig. 7 illustrates the photocurrent chronoamperograms curve of the deposited CIGSe film. This figure exhibits a cathodic photocurrent, which is a characteristic of a semiconductor with p-type conductivity opposite to that seen by the Mott–Schottky plot. The same behaviour was observed by Sebastian et al.³⁳ where the existence of p–n junction at CIGSe surface was considered with an empirical model for superficial and bulk conductivity. Thereby, photocurrent measurement is due to the conduction effect in the bulk of the film, and Mott–Schottky data is relative to superficial conduction. In other words, it is correct to say that the film is p-type conducting in the bulk. Further analysing Fig. 7, we can see that the highest and lowest values of the photocurrent intensity were obtained when the samples were obtained from the electrodeposition bath containing 1GLR and 10GLR, respectively. Thus, increasing grain boundary and superficial defects will increase charge recombination and the photocurrent will decrease. We can also conclude that when the secondary phase is present in the film, there is a higher probability of recombination of charge, and the photocurrent decreases. The results are in

Fig. 5  Graph of $(\alpha h \nu)^2$ versus $h \nu$ for the CIGSe films.

![Graph of $(\alpha h \nu)^2$ versus $h \nu$ for the CIGSe films.](image)

Fig. 6  Mott–Schottky graph for the 1GLR film performed in NaNO₃ 0.1 mol L⁻¹ by applying a sinusoidal excitation of 0.01 V rms with frequency of 1 kHz.

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Fig. 7  Chronoamperograms of the photocurrent study (light 30 s on/off) in NaNO₃ 0.1 mol L⁻¹, applying a potential of $–0.30$ V. The light intensity incident on the electrode was adjusted to 100 mW cm⁻² and the solar spectra was simulated with an AM 1.5G lens.

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agreement with data DRX, SEM and Mott–Schottky data. Therefore, the optimal crystal size and pure phase of the semiconductor thin films is very important for photovoltaic system application.

Summarizing all previous characterizations, the NADT and 0.1GLR films present a greater amount of secondary phases and lower bulk crystallinity, which justifies its high surface defects level (identified by the value of $N_0$) and the $E_{th}$ being so different from the other films (see Table 1). In contrast, the optimised condition of electrodeposition was achieved with the used of 1 mM GLR in electrolytic bath, providing the reduction of the number of surface defects, secondary phases and the increase of the size of crystallographic coherence dominium as well as the crystallinity of the CIGSe film.

4. Conclusions

A simple addition of non-ionic and non-complexing additive can change the film morphology/microstructure, causing interesting effects in its electronics properties. All characterizations led to the conclusion that, compared to CIGSe film obtained without addition of the additive, the presence of 1 mM Glycerol provides a reduction in the number of surface defects, of secondary phases and improves the bulk crystallinity of the electrodeposited CIGSe films.

Acknowledgements

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