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Mitigating the capacity loss by crossover transport in vanadium redox flow battery: A chemometric efficient strategy proposed using finite element method simulation

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15 **Abstract**

Energy storage systems play a major role in the energy transition. Among them, vanadium redox flow batteries are a promising alternative to conventional batteries, which due to their design can be scaled, and it is possible to decouple power and energy density. However, the transport of electroactive species through the membrane (cross-contamination) reduces the capacity and useful life of these batteries. In this work, computational simulation was performed using the finite element method coupled to chemometric analysis to develop a mitigation strategy to decrease the vanadium redox flow batteries capacity loss by cross-contamination. This study can be divided into two stages. Initially, a 2<sup>3</sup> full factorial design was performed to evaluate and determine the effect of different variables: current density, active species concentration, and volumetric flow on the loss of capacity of vanadium redox flow batteries. In the second stage, a Doehlert design was performed with current density, the concentration of active species, and the volumetric flow between electrolyte tanks as variables to obtain the optimum conditions that minimize capacity loss. The results show that the current density and the concentration of active species are the main variables that affect capacity loss in vanadium redox flow batteries. The

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proposed approach successfully mitigated the cross-contamination in different combinations of current density and concentration of active species providing an optimal flow between electrolyte tanks for different operating conditions.

16 Keywords: flow batteries, CFD simulation, chemometric analysis, VRFB  
17 capacity loss.

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## 18 **Nomenclature**

## 19 **Abbreviations**

20 ESSs Energy Storage Systems

21 FEM Finite Element Method

22 RFB Redox Flow Battery

23 VRFB Vanadium Redox Flow Battery

## 24 **Symbols**

25  $(\mu)^{e,j}$  Dynamic viscosity of the electrolyte

26  $\epsilon$  Porosity of electrode

27  $\eta$  Overpotential

28  $K$  Permeability of electrode

29  $i_l$  Electrolyte current density

30  $i_s$  Electrode current density

31  $N_i$  Flux of chemical species

32  $v$  Flow velocity

33  $\omega$  Volumetric flow

34	$\omega_{br}$	Volumetric flow between reservoirs
35	$\varphi_s$	Electrode potential
36	$\sigma_s^{eff}$	Effective conductivity of porous electrode
37	$a_a$	Anodic charge transfer coefficient
38	$a_c$	Cathodic charge transfer coefficient
39	$C_d$	Discharging capacity
40	$C_d^{th}$	Capacity at $n^{th}$ cycle
41	$C_{act}$	Active species concentration
42	$C_{i,0}$	Initial concentration of the species $i$
43	$C_{sup}$	Supporting electrolyte concentration
44	$C_L$	Capacity loss
45	$CL_{rate}$	Capacity loss rate
46	$D_i$	Diffusion coefficient
47	$D_i^{eff}$	Effective diffusion coefficient
48	$E^0$	Standard potential (V)
49	$H$	Cell height
50	$i_0$	Exchange current density
51	$i_{loc}$	Local current density
52	$j$	Current density
53	$n_i^f$	Quantity of $i$ species in electrolyte reservoirs

54	$n_{\text{cycles}}$	Number of cycles
55	$p$	Pressure
56	SoC	State of charge
57	$T$	Temperature
58	$t_{d,n^{\text{th}}}$	Discharge time of the first cycle
59	$t_{d,n^{\text{th}}}$	Discharge time of the $n^{\text{th}}$ cycle
60	$v$	Linear velocity inside the cell
61	$V^{t,j}$	Volume of reservoir
62	$V_0$	Initial volume of reservoir
63	$W_{\text{cell}}$	Cell width

#### 64 **Physical Constants**

65	$R$	Ideal gas constant $8.314 \text{ J K}^{-1} \text{ mol}^{-1}$
66	$F$	Faraday's constant $96485 \text{ C mol}^{-1}$

### 67 **1. Introduction**

68 The energy demand has sharply increased worldwide recently, and it is ex-  
69 pected to keep rising annually. In order to meet global energy demand, con-  
70 ventional non-renewable energy sources (such as coal, oil, and gas) have been  
71 employed, which has resulted in an energy crisis and difficulties with environ-  
72 mental pollution. [1]

73 In order to address the aforementioned challenges, the imperative shift to-  
74 ward a carbon-neutral economy is a pivotal transformation. However, renewable  
75 power generation, such as solar and wind, has the characteristics of randomness

76 and intermittence. [2, 3] For this reason, the wide application of this type of  
77 energy source is highly dependent on energy storage systems (ESSs). One way  
78 to overcome such difficulties is the development of energy storage technologies  
79 that allow its continuous use. [4] Storage also provides flexibility in the control  
80 and maintenance of the power grid, allowing energy to be supplied on demand.  
81 [5]

82 Batteries are one of the main systems used to store electrical energy due to  
83 their advantages over other systems. An example is the versatility that these  
84 systems have, as they can be installed anywhere, free from geological restrictions.  
85 [6] However, for application in large-scale networks, storage batteries must have  
86 a high number of charge/discharge cycles, a long service life, high efficiency, and  
87 adequate production and maintenance costs. [7, 8]

88 The most commercially used batteries are lithium-ion batteries, which have  
89 long life cycles and high efficiency, [6] but with high production costs due to  
90 limited lithium reserves. [9, 10] In this context, redox flow batteries (RFBs)  
91 are a promising alternative to meet the aforementioned requirements. [11] They  
92 offer low production cost, flexibility, mobility, fast response, simple design, and  
93 the ability to support a floating power supply. In addition, they present safety  
94 advantages over the most used batteries. [11–13] Such technology, although  
95 commercially viable, is at the limit of its development. For this reason, to  
96 optimize the performance of flow batteries, new proposals must be considered.

97 Historically, a series of flux batteries began to be reported, including bat-  
98 teries free of ion exchange membranes, such as lead-acid, [14] zinc-nickel [15]  
99 and zinc-cerium, [16] which have greater simplicity and lower cost compared to  
100 other RFBs. [14] Among the large number of redox flow batteries reported in  
101 the literature, semi-solid RFBs [17] composed of lithium pairs [18, 19] in suspen-  
102 sion are also found. These batteries have lower costs compared to conventional

103 lithium-ion batteries. [20]

104       However, all batteries mentioned above are subject to the cross-contamination  
105 effect, which led to the development of mixed electrolytes for RFBs. [21] In this  
106 context, vanadium-only redox pair batteries (VRFBs) [22] have also emerged,  
107 which are in an advanced stage of development and are already commercial. [23]  
108 Cross-contamination also occurs in VRFBs, but the products of the reactions  
109 that occur between the two half-cells are vanadium species. Thus, the capac-  
110 ity loss is reversible and can be mitigated by rebalancing the concentration of  
111 species consumed in the self-discharge reactions. [24]

112       In this sense, operational conditions are highly relevant for mitigating ca-  
113 pacity loss in VRFBs. Wang et al. [25] proposed a volume transfer between the  
114 electrolyte reservoirs in the opposite direction to the net cross-contamination  
115 (from positive to negative electrolyte reservoir). Their results showed that ca-  
116 pacity loss can be significantly reduced by this method. The authors also found  
117 that the VRFB current density has an interaction effect with the electrolyte  
118 transference between reservoirs. In other words, the optimal volumetric flow  
119 rate between electrolyte reservoirs that reduce capacity loss in the VRFB de-  
120 pends on the battery's current density. Therefore, this mitigation strategy can  
121 be improved by finding which operating conditions affect capacity loss and what  
122 should be the optimal volumetric flow between the reservoirs to minimize ca-  
123 pacity loss taking into account the effects of these operating conditions. [25]

124       Traditionally, the methodologies are based on the "one variable at a time"  
125 (OVAT) approach. This procedure is simple but extremely laborious and time-  
126 consuming. As a univariate approach, it is unable to detect interactions between  
127 the variables, i.e., the effect of the value of variable A on the change of the val-  
128 ues of variable B, as a result, it frequently produces results that are difficult to  
129 interpret, especially as the number of parameters increases. Additionally, the

130 OVAT approach tends to locate only local optima and may thus overlook the  
131 truly optimal parameters. [26] This continues to be an essential yet challenging,  
132 and often limiting step in the optimization process. Clearly, due to the large  
133 number of variables that control the response, their exploration using inappro-  
134 priate tools is likely to generate sub-optimal solutions. In this sense, statistical  
135 tools such as Design of Experiments (DoE) make it possible to explore complex  
136 problems with minimum resources. [27, 28] DoE provides a more detailed pic-  
137 ture of the behavior of a particular process, determines the contribution of each  
138 factor to the system, resolves their interactions, and models the effect on the  
139 response (or multiple responses). By performing just a few experiments we can  
140 generate a statistical model and effectively map and visualize the entire space  
141 of responses.

142 In recent years, computer simulations have increasingly proven to be useful  
143 and robust tools for studying various systems in the fields of basic sciences  
144 and engineering. Analytical solutions are typically limited to simpler systems,  
145 making it impossible to obtain an analytical solution for differential equations in  
146 complex systems. The finite element method involves numerically solving these  
147 equations and providing an approximate solution. This method allows for the  
148 simulation of complex systems with different physical phenomena. It enables the  
149 study of various electrochemical systems, including electrodeposition, corrosion,  
150 batteries, and supercapacitors. [29]

151 Considering the great impact that cross-contamination has on the opera-  
152 tional life of the VRFB, in this work, the computational simulation performed  
153 by the finite element method combined with chemometric analysis has been  
154 used to evaluate the effect of operating conditions on VRFB capacity loss and  
155 to find the optimum flow between reservoirs to reduce these losses.



156 **2. Model description and simulation procedure**

157 The model consists of a 2D projection of a VRFB containing two electrolyte  
 158 reservoirs, two current collectors, pipes, and two porous carbon felt separated  
 159 by a Nafion™ membrane (Figure 1). The electrolyte reservoirs and current  
 160 collectors are not present in the 2D domain and were simulated by boundary  
 161 conditions. Pipes are also not present and were simulated using equations to  
 162 describe the pressure loss. The model is formed by three domains: a positive  
 163 electrode, a negative electrode, and an ion exchange membrane.

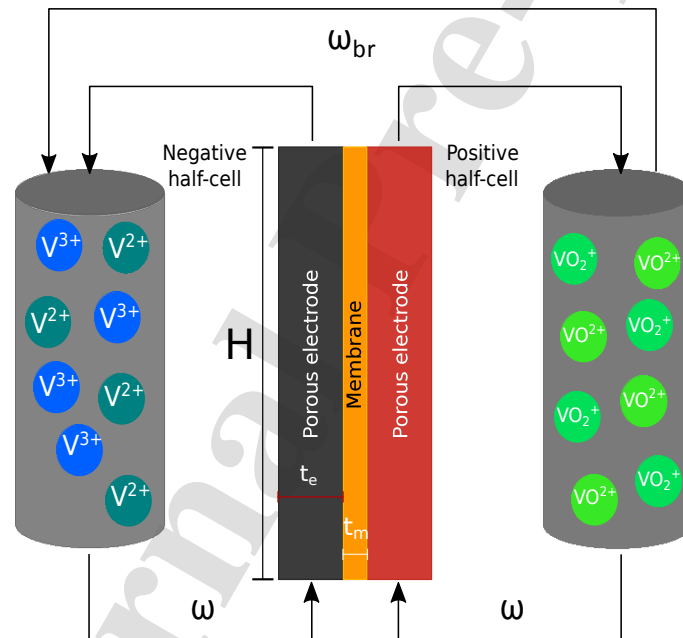


Figure 1: Schematic representation of the VRFB model.

164 Each electrode is fed with an electrolyte containing a vanadium redox cou-  
 165 ple and sulfuric acid. The negative electrolyte consists of aqueous solutions of  
 166 sulfate salts of  $V^{2+}$  and  $V^{3+}$  and  $H_2SO_4$ . The positive electrolyte is composed

Table 1: Dimensional and operational parameters of the VRFB model

Parameter	Description	Value
h	Cell height	100 mm
t <sub>e</sub>	Electrode thickness	4.0 mm
t <sub>m</sub>	Membrane thickness	0.18 mm
ω	Volumetric flow	*
ω <sub>br</sub>	Volumetric flow between reservoirs	*

\*Values defined in the factorial design.

167 of dissolved VO<sup>2+</sup> and VO<sup>3+</sup> sulfates and H<sub>2</sub>SO<sub>4</sub>.

168 The mass conservation for each species present in the system is given by

$$\frac{\partial \epsilon c_i^e}{\partial t} + \nabla \cdot \mathbf{N}_i = -S_i \quad (1)$$

169 where  $\epsilon$  is the porosity of the electrode,  $c_i^e$  is the concentration for each

170 species,  $\mathbf{N}_i$  is the flux and  $S_i$  is the source term. The flux was calculated by the

171 Nernst-Planck equation

$$\mathbf{N}_i = -D_i^{eff} \nabla c_i^e - z_i u_i^e c_i^e F \nabla \phi_i^e + \mathbf{v} c_i^e \quad (2)$$

172 where  $\phi_i^e$  is the electrolyte potential,  $u_i^e$  is the ionic mobility,  $z_i$  is the charge

173 of the species,  $\mathbf{v}$  and  $F$  is Faraday's constant.

174 The transport of ionic species due to the electrochemical reactions and the

175 electronic current is coupled by charge conservation as follows

$$\nabla \cdot \mathbf{i}_l + \nabla \cdot \mathbf{i}_s = 0 \quad (3)$$

176 where  $i_l$  is the current density of the electrolyte and  $i_s$  is the current density

177 of the electrode. The electronic current ( $i_l$ ) is described by Ohm's law and the

178 ionic current ( $i_s$ ) by Faraday's law as follows

$$\mathbf{i}_s = \sigma_s^{eff} \nabla \phi_s \quad (4)$$

$$\mathbf{i}_l = F \sum_i z_i \mathbf{N}_i \quad (5)$$

179 where  $\sigma_s^{eff}$  is the effective conductivity of the porous electrode, obtained by  
180 Bruggemann correction, and  $\phi_s$  is the electrode potential.

181 The local currents of electrochemical reactions ( $i_{loc}^n$  and  $i_{loc}^p$ ) were modeled  
182 with the Butler-Volmer equation as follows

182

$$i_{loc}^n = aFk^n (c_{V^{2+}}^b)^{(1-\alpha^n)} (c_{V^{3+}}^b)^{\alpha^n} \left[ \frac{c_{V^{2+}}^s}{c_{V^{2+}}^b} \exp\left(\frac{(1-\alpha^n)F\eta^n}{RT}\right) - \frac{c_{V^{3+}}^s}{c_{V^{3+}}^b} \exp\left(\frac{-\alpha^n F\eta^n}{RT}\right) \right] \quad (6)$$

$$i_{loc}^p = aFk^n (c_{VO_2^+}^b)^{(1-\alpha^p)} (c_{VO_2^+}^b)^{\alpha^p} \left[ \frac{c_{VO_2^+}^s}{c_{VO_2^+}^b} \exp\left(\frac{(1-\alpha^p)F\eta^p}{RT}\right) - \frac{c_{VO_2^+}^s}{c_{VO_2^+}^b} \exp\left(\frac{-\alpha^p F\eta^p}{RT}\right) \right] \quad (7)$$

183 where  $k$  is the rate constant,  $a$  is the specific surface area,  $\alpha$  is the charge  
184 transfer coefficient,  $\eta$  is the overpotential,  $s$  is the surface concentration and  
185  $b$  is the bulk concentration ( $n$  and  $p$  indices refer to the negative and positive  
186 half-cells, respectively)

187 The velocity ( $\mathbf{v}$ ) from Eq. 2 is determined by Darcy's law

$$\mathbf{v} = -\frac{\kappa}{\mu^{e,j}} \nabla p \quad (8)$$

188 where  $\mu^{e,j}$  is the dynamic viscosity of the electrolyte,  $p$  is the pressure and  $\kappa$   
189 is the permeability of the electrode. The transport of vanadium species through

190 the membranes was considered.

191 The variation of species concentration in the electrolyte reservoirs was mod-  
192 eled by the following ODE

$$\frac{dn_i^r}{dt} = \epsilon W_{cell} \left( \int_0^{L_{out}} c_i v dx - \int_0^{L_{in}} c_i v dx \right), n_i^t(0) = c_{i,0} V_0 \quad (9)$$

193 where  $n_i^t$  is the number of mols of species  $i$  in the electrolyte reservoirs,  $\epsilon$   
194 electrode porosity,  $W_{cell}$  is the cell width,  $v$  is the linear velocity inside the  
195 cell,  $c_{i,0}$  is the initial concentration of the species  $i$ ,  $V_0^t$  is the initial volume of  
196 the reservoir,  $L_{in}$  is the inlet electrode thickness and  $L_{out}$  is outlet electrode  
197 thickness. Considering that there is an electrolyte transfer between the half-  
198 cells due to convection across the membrane, the volume of electrolytes in each  
199 reservoir will change. This variation is given by the following equation

$$\frac{dV^{t,j}}{dt} = j \epsilon W_{cell} H v, V^{t,j}(0) = V_0^t \quad (10)$$

200 where  $V^{t,j}$  is the volume of each tank,  $W_{cell}$  is the cell width and  $j$  is the unit  
201 value of semi-cell representation (-1 for negative electrolyte and +1 for positive  
202 electrolyte).

203 To simulate the electrolyte transfer between reservoirs it is necessary to  
204 modify equation 9. Considering that the number of species in each tank depends  
205 on the flux of species between reservoirs, for  $VO_2^+$ ,  $VO^{2+}$ ,  $VO_{neg}^{2+}$  and  $VO_{neg}^+$   
206 the equation becomes

$$\frac{dn_i^t}{dt} = \epsilon W_{cell} \left( \int_0^{L_{out}} c_i v dx - \int_0^{L_{in}} c_i v dx \right) - j \omega_{br} c_i^t \quad (11)$$

207 where  $\omega_{br}$  is the volumetric flow between the reservoirs. The reservoir volume  
208 becomes

$$\frac{dV^{t,j}}{dt} = \epsilon W_{cell} H v_{m,x} - j \omega_{br} \quad (12)$$

209 The set of differential equations was solved by the finite element method us-  
 210 ing the software COMSOL Multiphysics on a computer with a 3.5 GHz processor  
 211 i7 and 131 GB of RAM. The computational simulation time was 12 hours.

### 212 2.1. Chemometric study

213 A  $2^3$  full factorial design was carried out to determine the effect of cur-  
 214 rent density ( $j$ ), active species concentration ( $c_{act}$ ), and volumetric flow ( $\omega$ ) on  
 215 capacity loss per cycle (CL).

216 The discharging capacity ( $C_d$ ) is determined by

$$C_d = \frac{t_{d,n^{th}}}{t_{d,1^{st}}} \times 100\% \quad (13)$$

217 where  $t_{d,n^{th}}$  and  $t_{d,1^{st}}$  are the discharge times of the  $n^{th}$  and first cycle,  
 218 respectively. Thus, CL is calculated as follows

$$CL = |100\% - C_d^{th}| \quad (14)$$

219 where  $C_d^{th}$  is the capacity at the  $n^{th}$  cycle.

220 The cut-off potential for each experiment was determined by state of charge  
 221 (SoC) cut-off of 0.9 and 0.1 for the first cycle for charging and discharging  
 222 procedures, respectively. The supporting electrolyte concentration was 3.0 mol  
 223  $L^{-1}$  and the capacity loss rate ( $CL_{rate}$ ) was determined by

$$CL_{rate} = \sum_1^i \frac{dC_{d,i}}{dt} \frac{1}{n_{cycles}} \quad (15)$$

224 where  $n_{cycles}$  is the total number of cycles.

225 The influence of  $c_{act}$  on the viscosity in each half-cell and associated ca-  
 226 pacity loss rate was also investigated. To determine the suitable viscosity for  
 227 both negative and positive electrolytes, a regression model analysis was per-  
 228 formed with data available in the literature for the SoC, supporting electrolyte  
 229 concentration ( $c_{sup}$ ) and  $c_{act}$ . The viscosity parameters set up for factorial de-  
 230 sign experiments were the mean values of viscosity in each SoC. The responses  
 231 (positive and negative viscosity) were individually submitted to a quadratic  
 232 regression for coefficients estimation:

$$\begin{aligned}
 \mu^j = & \mathbf{w}_0 + \mathbf{w}_{01}SoC + \mathbf{w}_{02}c_{act} + \mathbf{w}_{03}c_{sup} + \mathbf{w}_{04}T \\
 & + \mathbf{w}_{11}(SoC)^2 + \mathbf{w}_{12}SoC c_{act} + \mathbf{w}_{13}SoC c_{sup} \\
 & + \mathbf{w}_{14}SoC T + \mathbf{w}_{22}c_{act}^2 + \mathbf{w}_{23}c_{act}c_{sup} + \mathbf{w}_{24}c_{act}T \\
 & + \mathbf{w}_{33}c_{sup}^2 + \mathbf{w}_{34}c_{sup} + \mathbf{w}_{44}T^2
 \end{aligned} \tag{16}$$

233 where T is the electrolyte temperature.

234 K-fold cross-validation was used to validate the performance of the model.  
 235 The data set was split into five sections.

236 After determining the statistically significant factors, a regression model  
 237 was performed for the volumetric flow between electrolyte reservoirs, to evaluate  
 238 discharge capacity behavior. The optimum operating conditions are attained by  
 239 using three variables in a Doehlert design: volumetric flow between reservoirs  
 240 ( $w_{br}$ ), current density (j), and ( $c_{act}$ ). The C L was submitted to a quadratic  
 241 regression for coefficients estimation:

$$\begin{aligned}
 \mathbf{CL} = & \mathbf{w}_0 + \mathbf{w}_{01}\omega_{br} + \mathbf{w}_{02}j + \mathbf{w}_{03}c_{act} + \mathbf{w}_{11}(\omega_{br})^2 \\
 & + \mathbf{w}_{12}\omega_{br}j + \mathbf{w}_{13}\omega_{br}c_{act} + \mathbf{w}_{22}j^2 + \mathbf{w}_{23}jc_{act} \\
 & + \mathbf{w}_{33}c_{act}^2
 \end{aligned} \quad (17)$$

242 According to the magnitude test presented in the Supplementary Informa-  
 243 tion,  $\omega_{br}$  must be of the order of  $10^{-5}$  to minimize VRFBS capacity loss. There-  
 244 fore,  $\omega_{br}$  value was calculated by the following equation

$$\omega_{br} = v_p \omega 10^{-5} \quad (18)$$

245 where  $v_p$  is a dimensionless factor and  $\omega$  is the volumetric flow.

### 246 3. Results and discussion

#### 247 3.1. Effects of variables on capacity loss rate

248 The optimization process was performed in two steps. Based on the liter-  
 249 ature survey, a full  $2^3$  factorial design was carried out to identify the effect of  
 250 selected variables ( $j$ ,  $c_{act}$  and  $\omega$ ) on  $CL_{rate}$ . The combinations between these  
 251 independent variables resulted in eight experiments. Table 2 describes these  
 252 simulated conditions and results.

Table 2: Parameters and response of the  $2^3$  factorial design.

Exp	$j$ (mA cm <sup>-2</sup> )	$c_{act}$ (mol L <sup>-1</sup> )	$\omega$ (L min <sup>-1</sup> )	$CL_{rate}$ (% cycle <sup>-1</sup> )
1	50	1.10	15	0.59
2	100	1.10	15	0.50
3	50	1.80	15	0.87
4	100	1.80	15	0.63
5	50	1.10	30	0.57
6	100	1.10	30	0.46
7	50	1.80	30	0.86
8	100	1.80	30	0.61

253 The normal probability plot, shown in Figure 2.A, indicates the magnitude,  
254 direction, and importance of the effects. The effects are shown relative to a  
255 distribution fit line and negligible effects are normally distributed with mean  
256 zero on the x-axis. The significant effects have non-zero means and are further  
257 away from the red straight line.

258 Since the data set from the multi-physical model are unrepeated, the Lenth  
259 method [30] was used to evaluate the statistical significance of effects estimates.  
260 Lenth's pseudo standard error (PSE) is based on the concept of sparse effects  
261 and is employed to determine two critical values: marginal error (ME) and  
262 simultaneous marginal error (SME). Figure 2.B was applied to ranked variables'  
263 significance and shows the influence of the variables and cross-effects between  
264 them in the  $CL_{rate}$ . Effects with an absolute value exceeding the lines (ME and  
265 SME) are labeled statistically significant. The Pareto plot (Figure 2.B) shows  
266 that the  $c_{act, j}$ , and the interaction effect between these two variables are the  
267 most relevant parameters.

268 The positive estimated effect  $c_{act}$  revealed that the  $CL_{rate}$  increased with  
269 increasing  $c_{act}$  at the studied levels. That can be explained based on additive  
270 adsorption diffusional flux across the membrane due to a higher concentration  
271 gradient in the membrane/electrode interface when a larger  $c_{act}$  is applied.

272 Figure 3 shows integrated net fluxes (diffusional, migrational and convective)  
273 of vanadium species in the membrane/electrode interface for experiments 1, 2  
274 and 3 from Table 2. As can be observed, diffusional flux increases for the four  
275 vanadium species when the concentration of active species goes from 1.1 to 1.8  
276 mol L<sup>-1</sup>. In contrast, the net migration and convective fluxes vary slightly for  
277 these cases. The net convective and migration fluxes are close to zero because  
278 they change direction depending on the charging or discharging procedure. The  
279 higher diffusional flux for 1.8 mol L<sup>-1</sup> accelerates the variation of the  $VO_2^+$



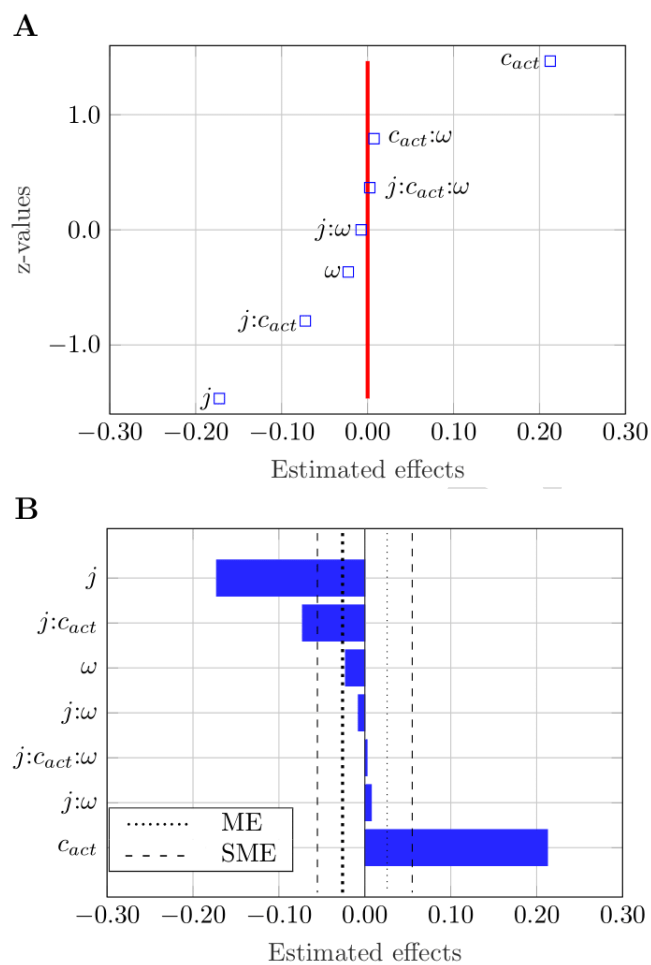


Figure 2: Normal probability plot of the effects (A) and Pareto chart (B) of the chosen variables, with the significance lines: marginal error (ME) and the simultaneous marginal error (SME).

280 limiting concentration during discharge, leading to higher capacity loss. Thus,  
 281 the increase of  $c_{act}$  increases the diffusional fluxes across the membrane and  
 282 accelerates the consumption of the limiting active species, which contributes to  
 283 the self-discharge process.

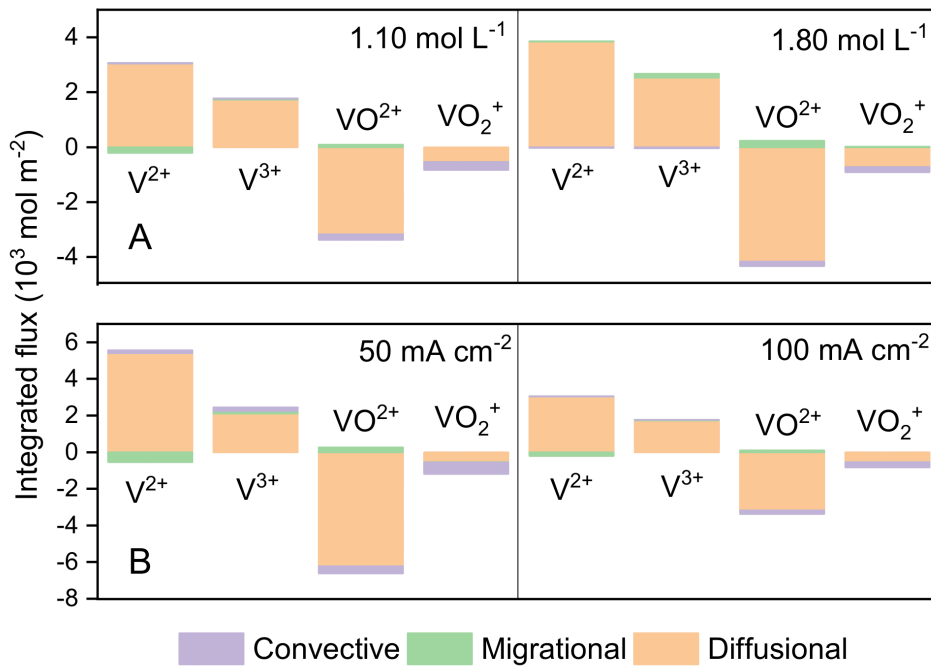


Figure 3: Comparison of integrated net fluxes across the membrane/electrolyte interface between different factorial design experiments. A: compares two levels of  $c_{act}$ , experiments 1 and 3, keeping  $j$  at 50 mA cm<sup>-2</sup> and  $\omega$  at 15 L min<sup>-1</sup>. B: compares two levels of  $j$ , experiments 1 and 2, keeping  $c_{act}$  at 1.10 mol L<sup>-1</sup> and  $\omega$  at 15 L min<sup>-1</sup>.

284 The current density has also shown a significant effect on the system, how-  
 285 ever, providing a decrease in the  $CL_{rate}$  when it is increased. Despite larger cur-  
 286 rents increasing the concentration and potential gradients in the membrane/electrolyte  
 287 interface, these cases also correspond to shorter charge-discharge cycles. Thus,  
 288 the integrated flux over time across the interface is smaller when larger  $j$  is  
 289 used. As smaller currents lead to smaller integrated fluxes, the decrease of  
 290 limiting concentration per cycle is smaller too, explaining why the  $CL_{rate}$  de-  
 291 creased. Thus, the increase of current density shortens the charge/discharge  
 292 cycles, making fewer species cross the membrane and decreasing the capacity  
 293 loss per cycle. As a consequence, the capacity loss across the cycles decreases.  
 294 The interaction between  $c_{act}$  and  $j$  is also statistically significant, which is never  
 295 considered when optimization is conducted by the univariate traditional mode.

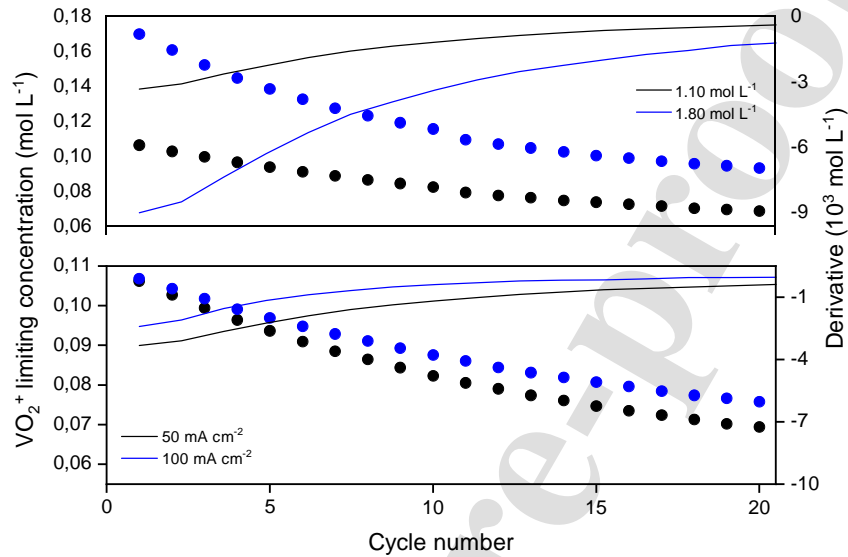
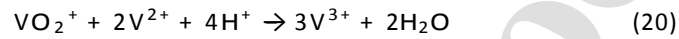
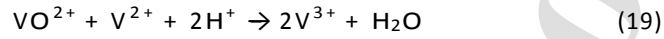


Figure 4:  $\text{VO}_2^+$  limiting concentration during battery discharge for different values of  $c_{\text{act}}$  and  $j$

296 Due to the higher diffusional flow to 1.8 mol L<sup>-1</sup>, there is an acceleration  
 297 in the variation of the  $\text{VO}_2^+$  concentration limiting the concentration during  
 298 the discharge. Therefore, there is a greater loss of capacity as can be seen in  
 299 Figure 4. In the case of current density, the different integrated fluxes lead  
 300 to different limiting concentration profiles. Since lower current densities cause  
 301 lower integrated fluxes, the decrease in limiting concentration per cycle will also  
 302 be smaller. Thus, there is a decrease in the capacity loss rate.

### 303 3.2. Mitigation strategy

304 After determining which variables affect the loss of capacity, the mitigation  
 305 strategy can be applied. The strategy consists of a volume transfer between the  
 306 electrolyte reservoirs in the inverse direction of net cross-contamination. This  
 307 strategy is suitable due to the following self-discharge reactions that occur in  
 308 the negative half-cell:



309 The  $\text{VO}^{2+}$  and  $\text{VO}_2^{2+}$  species react with  $\text{V}^{2+}$  (in excess in the negative half-  
 310 cell) yielding  $\text{V}^{3+}$ . Then, there is a rebalancing of  $\text{V}^{3+}$  in the negative half-cell,  
 311 especially by the consumption of  $\text{VO}^{2+}$ . Therefore, this strategy may be able  
 312 to partially or totally mitigate the loss of capacity.

### 313 3.2.1. Optimizing the flow velocity between reservoirs

314 As we saw in the previous sections the active species concentrations and the  
 315 current density affect the capacity loss in a VRFB cell. We also observed that  
 316 there is a region of optimum flow velocity between electrolyte reservoirs for a  
 317 proper operating situation. The purpose now is to turn our general strategy by  
 318 finding the optimal velocity between tanks for any condition of concentration  
 319 and current. In this sense, the Doehlert design can be used to find the optimum  
 320 velocity between reservoirs for any condition of current and concentration of  
 321 active species. In this case of a three-variable design, fifteen combinations of  
 322 parameters are tested including three replicates at the center points were carried  
 323 out as shown in Table 3. As concerns the simulated space investigated, the  
 324 domain of each variable was chosen according to preliminary results.

325 The Doehlert design is particularly appropriate in this application because it  
 326 involves fewer experiments and we can move the analysis through the simulated  
 327 domain with different levels for each variable [31]. This design is well-suited for  
 328 constructing a second-order polynomial model and exploring quadratic response  
 329 surfaces. In the present case,  $j$ ,  $\omega_{br}$ , and  $c_{act}$  were the variables chosen and  
 330 studied in seven, five, and three values, respectively. Based on the simulated

Table 3: Three-factor Doehlert design with the corresponding responses.

Experiment	$\omega_{br}$ ( $\mu\text{L min}^{-1}$ )	$j$ ( $\text{mA cm}^{-2}$ )	$c_{act}$ ( $\text{mol L}^{-1}$ )	CL (%)
1	1.10	80.00	1.45	0.0
2	2.00	80.00	1.45	19.4
3	1.55	114.64	1.45	4.6
4	0.20	80.00	1.45	16.3
5	0.65	45.36	1.45	2.1
6	1.55	45.36	1.45	9.2
7	0.65	114.64	1.45	7.2
8	1.55	91.56	1.78	5.8
9	0.65	68.44	1.12	4.8
10	1.55	68.44	1.12	7.7
11	1.10	103.08	1.12	2.6
12	0.65	91.56	1.78	5.5
13	1.10	56.92	1.78	0.2
14	1.10	80.00	1.70	0.2
15	1.10	80.00	1.21	1.4

331 data for capacity loss, a second-order equation was fitted to the prediction and  
 332 can be written and decoded as

$$\begin{aligned}
 \text{CL} = & 48.513 - 35.403\omega_{br} - 0.0489j - 37.266c_{act} \\
 & - 0.15509\omega_{br}:j + 1.049\omega_{br}:c_{act} + 0.44230j:c_{act} \\
 & + 21.914\omega_{br}^2 + 0.001j^2 + 1.0570c_{act}^2
 \end{aligned} \quad (21)$$

333 In equation 21 the coefficients with positive values indicate that these terms  
 334 affect in favor of the response, i.e. CL. However, the terms with negative  
 335 coefficients show incompatibility with CL. Analysis of variance (ANOVA) was  
 336 carried out to justify the significance and adequacy of the regression model fit.  
 337 The output summary statistical significance of the model was established at  $p$   
 338 = 0.05. The coefficient of determination value ( $R^2$  of 0.998) close to unity and  
 339 smaller standard deviation values indicated that the model can satisfactorily  
 340 explain the data variability. The F-value of 265.6 indicates that the model is

341 highly adequate and meaningful. These results suggest that this model can  
 342 explain 99% of the variability in the response (i.e., CL) and only 1% of the  
 343 variability is due to the noise. The relationship between the predicted data from  
 344 the model and the actual data for CL is presented in the supporting information.  
 345 Specifically, data points where the actual CL values are close to the predicted  
 346 CL values are shown. Based on the low discrepancies shown in Figure S4, it is  
 347 evident that the data points are situated very close to the diagonal line. This  
 348 observation, coupled with the linear arrangement of points on the graph, leads  
 349 to the inference that the residuals are distributed normally.

350 The polynomial equation was expressed in the form of a three-dimensional  
 351 surface plot which was obtained by varying two independent variables while  
 352 keeping the other parameters at the static condition. Figure 5 shows the surface  
 353 plots for capacity loss of  $\omega_{br}$  versus  $j$  for three values of  $c_{act}$  (constant in each  
 354 facet), where the minimum point is located inside the simulated region.

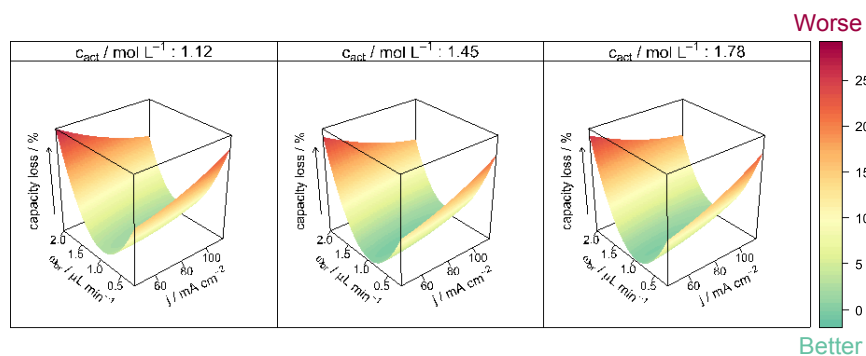


Figure 5: Capacity loss as a function of  $c_{act}$ ,  $j$  and  $\omega_{br}$

355 It is seen that the  $\omega_{br}$  has a strong non-linear influence and that the variation  
 356 in CL shows a minimum along the  $\omega_{br}$  axis. The curvature in the other direction  
 357 is feeble and presumably not so significant. Therefore the effect of  $\omega_{br}$  and  $j$  is

358 not strictly linear since the equation contains both negative individual effects  
 359 (first-order term) and positive quadratic coefficients. As the results show, C L  
 360 will be directly decreased by  $j$  and parabolic decreased by  $\omega_{br}$ . The analysis  
 361 shows that the negative interaction coefficient of  $\omega_{br}:j$  suggests an antagonist  
 362 influence of both variables on the C L. The positive interaction coefficients  
 363  $\omega_{br}:C_{act}$  and  $j:C_{act}$  suggest a synergistic influence of both variables on the C L.

364 By analyzing Figure 5, it is possible to verify that the surface shape can  
 365 be described as an elongated saddle, and in this case, to improve the response,  
 366 the directions in which the response surface decreases should be explored, so  
 367 the best condition will be found on the valley. When a valley area occurs, like  
 368 in Figure 5, the optimum condition will not be a single point. So when  $\omega_{br}$   
 369 is between 0.75 and 1.25  $\mu\text{L min}^{-1}$ , there is a local minimum for the VRFBs  
 370 capacity loss when  $C_{act}$  is 1.12  $\text{mol L}^{-1}$ . However, when  $C_{act}$  is 1.45 and 1.78  $\text{mol}$   
 371  $\text{L}^{-1}$  there is a global minimum for capacity loss.

372 Therefore, not all combinations of  $j$  and  $C_{act}$  will minimize capacity loss, but  
 373 there are several minimum regions in Figure 5. Thus, it is possible to identify  
 374 the optimum  $\omega_{br}$  for any combination between  $j$  and  $C_{act}$  by simply choosing  
 375 its values and searching for a region of minimum capacity loss. However, the  
 376 surface analysis shows that although the electric current density and the con-  
 377 centration of active species influence the loss of capacity, the optimal volumetric  
 378 flow between the reservoirs is very close to a range of variable values.

#### 379 4. Conclusions

380 The concentration of active species and current density are the most impor-  
 381 tant variables that affect capacity loss in VRFBs. Therefore, an efficient miti-  
 382 gation strategy based on the volume transfer between reservoirs in the reverse  
 383 direction of net cross-contamination was proposed and evaluated with FEM

384 simulations and chemometric analysis. The proposed strategy could provide  
385 several operation conditions with different values for  $j$  and  $C_{act}$  that minimize  
386 VRFBs capacity loss. In addition, the serpentine design flow could be employed  
387 in future developments of this work for a more accurate description of mass  
388 transport. [32, 33] Thus, this study provides a set of fundamental backgrounds  
389 for experimental work bringing an understanding of the effects of operating  
390 conditions on the performance of VRFBs.

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## Highlights

**Mitigating the capacity loss by crossover transport in vanadium redox flow battery: A chemometric efficient strategy proposed using finite element method simulation**

Luis F. Pilonetto, Felipe Staciaki, Eryka Nóbrega, Evaldo B. Carneiro-Neto, Jeyse da Silva, Ernesto Pereira

- Computational approach to mitigate the VRFB capacity loss by cross-contamination.
- Current density and active species concentration majorly impact VRFB capacity loss.
- Mitigation strategy reduces VRFB capacity loss across diverse operational conditions.

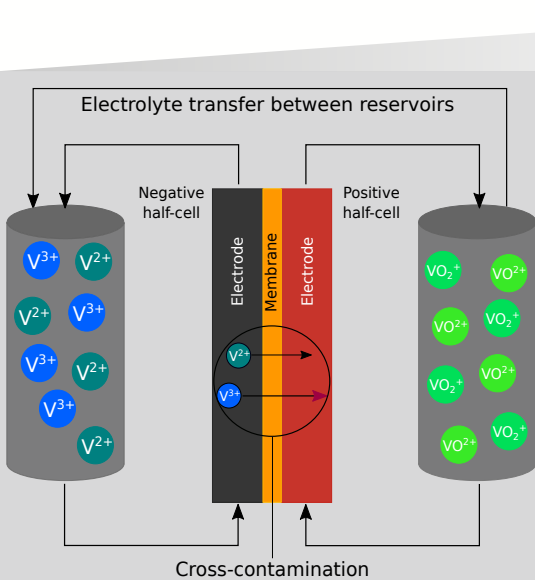
Mitigating the capacity loss by crossover transport in vanadium redox flow battery: A chemometric efficient strategy proposed using finite element method simulation

Luis F. Pilonetto<sup>1</sup>, Felipe Staciaki<sup>1</sup>, Eryka Nóbrega<sup>1</sup>, Evaldo B. Carneiro-Neto<sup>1</sup>, Jeyse da Silva<sup>1</sup>, Ernesto Pereira<sup>1</sup>

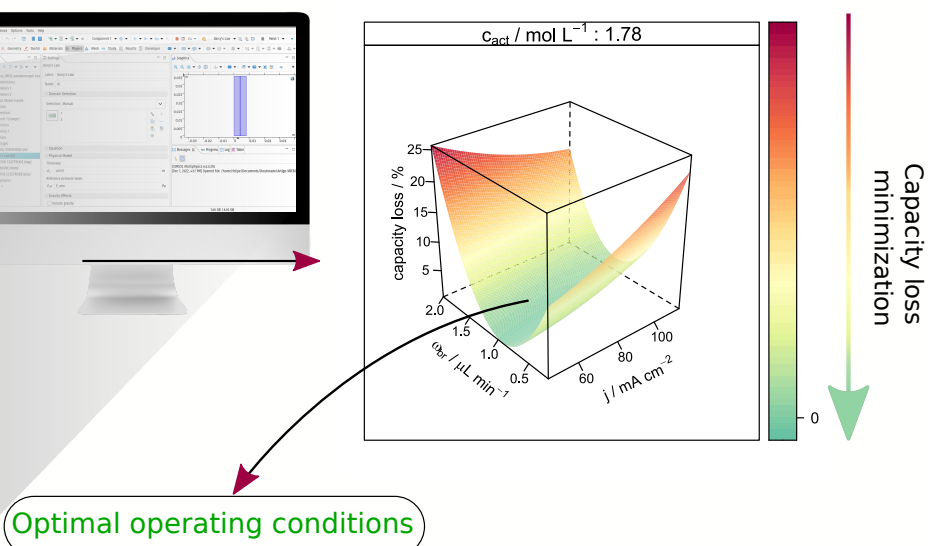
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Finite element method



Chemometric analysis



Optimal operating conditions



**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proof